IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Steven C. Bailey, et al.

Serial No.:

10/052,642

Filing Date:

January 18, 2002

Title:

Database System Facilitating Textual Searching

Atten: Box Missing Parts

Assistant Commissioner for

Patents

Washington, DC 20231

Dear Sir:

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

This is in response to the Notice to File Missing Parts of Nonprovisional Application Under 37 CFR 1.53(b) - Filing Date Granted, mailed February 27, 2002. Applicants submit herewith:

- A copy of the Notice to File Missing Parts of Application Filing Date a) Granted;
- b) Executed Declaration and Power of Attorney document for the abovereferenced patent application, which was filed January 18, 2002.
- A check in the amount of \$130.00 is enclosed for the payment of the surcharge as a large entity;
- d) Transmittal of Formal Drawings to the attention of the Official Draftsman transmitting substitute formal drawings in compliance with 37 C.F.R. § 1.84 which correct the margins;
- An executed Assignment for the above-referenced patent application e) from the inventors to Caterpillar Inc. (along with a copy of the Declaration and Power of

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Attorney). The Assignment Transmittal Form and filing fee in the amount of \$40.00 are included herewith;

An executed Assignment for the above-referenced patent application f) from Caterpillar Inc. to i2 Technologies US, Inc. The Assignment Transmittal Form and filing fee in the amount of \$40.00 are included herewith.

The Commissioner is hereby authorized to charge any additional fee or credit any overpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P.

> Respectfully submitted, Attorneys for Applicants

Christopher W. Kennerly

Reg. No. 40,675

CWK/bt

Correspondence Address:

Baker Botts L.L.P. 2001 Ross Avenue, 6th Floor Dallas, Texas 75201-2980 (214) 953-6812

Attached:

Notice to File Missing Parts of Nonprovisional Application (Copy)

Declaration and Power of Attorney

Check for \$130.00

Transmittal of Substituted Formal Drawings and 49 sheets of drawings

Assignment Recordation Form (assigning rights from inventors to Caterpillar Inc.)

Assignment

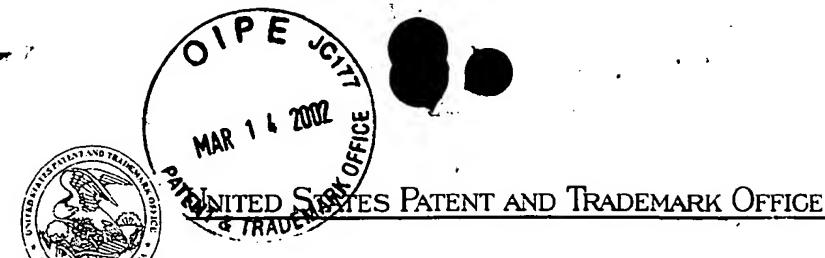
Check for \$40.00

Assignment Recordation Form (assigning rights from Caterpillar Inc. to i2

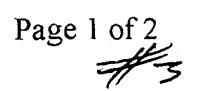
Technologies US, Inc.)

Assignment

Check for \$40.00







COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/052,642

01/18/2002

Steven C. Bailey

020431.0834

CONFIRMATION NO. 5633

FORMALITIES LETTER

OC00000007544410

05073 BAKER BOTTS L.L.P. 2001 ROSS AVENUE SUITE 600 DALLAS, TX 75201-2980

Date Mailed: 02/27/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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A copy of this notice <u>MUST</u> be returned with the reply.

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Customer Service Center





Initial Patent Examination Division (703) 308-1202 PART 2 - COPY TO BE RETURNED WITH RESPONSE

ATTORNEY'S DOCK O20431.0834



PATENT 10/052,642

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Application of:

Steven C. Bailey, et al.

Serial No.:

10/052,642

Filing Date:

January 18, 2002

For:

Database System Facilitating Textual Searching

BOX: MISSING PARTS

Assistant Commissioner for Patents Washington, DC 20231

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Response to Notice to File Missing Parts of Nonprovisional Application, Notice to File Missing Parts of Nonprovisional Application (copy), Declaration and Power of Attorney, Transmittal of Substitute Formal Drawings with 49 pages of drawings, two Assignment Recordation Forms with Assignments, and checks for \$130.00, \$40.00, and \$40.00 are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 14th day of March, 2002, and are addressed to Box: Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231.

Levado Hamilton

Express Mail Receipt No. EL930006925US Attorney's Docket No.: 020431.0834 MAR STATES PATENT AND TRADEMARK OFFICE



In re Application of:

Steven C. Bailey, et al.

Serial No.:

10/052,642

Filed:

January 18, 2001

Title:

Database System Facilitating Textual Searching

ATTENTION: OFFICIAL DRAFTSMAN

Box: Missing Parts

Assistant Commissioner for Patents

Washington, DC 20231

Dear Sir:

TRANSMITTAL OF SUBSTITUTE FORMAL DRAWINGS

Pursuant to the Notice to File Missing Parts of Nonprovisional Application mailed February 27, 2002, and pursuant to 37 C.F.R. § 1.84, transmitted herewith for filing in the aboveidentified patent application are 49 sheets of substituted formal drawings correcting the margins.

The Commissioner is hereby authorized to charge any additional fee or credit any overpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P.

> Respectfully submitted, BAKER BOTTS L.L.P. Attorneys for Applicants

Christopher W. Kennerly Registration No. 40,675

CWK/bt

Correspondence Address: Baker Botts L.L.P. 2001 Ross Avenue, 6th Floor Dallas, TX 75201-2980 (214) 953-6812